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B

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24113 7590 09/24/2004

PATTERSON, THUENTE, SKAAR & CHRISTENSEN, P.A.
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Peter S. Dardi, Ph.D.	(Depositor's name)
<i>Peter S. Dardi</i>	(Signature)
September 28, 2004	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/099,597	03/15/2002	Craig R. Horne	2950.50US02	2735

TITLE OF INVENTION: OPTICAL MATERIALS AND OPTICAL DEVICES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$0	\$0	\$0	12/27/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
KILIMAN, LESZEK B	1773	428-402000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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Patterson, Thuent, Skaar
& Christensen, P.A.
1. Peter S. Dardi

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NeoPhotonics Corporation

San Jose, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☒ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Peter S. Dardi

Date

September 28, 2004

Typed or printed name Peter S. Dardi

Registration No. 39,650

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